

VIA:

AIR

(SPECIFY AIR OR SEA POUCH)

WATCH NO. EOFA-12862

**SECRET**

CLASSIFICATION

TO : Chief, EE

DATE: 11 October 1956

FROM : Chief of Base, Frankfurt

INFO: COS

SUBJECT: GENERAL— Operational/Call

SPECIFIC— CARETINA

Attachments A and B are reports from [ ] on  
his contact with CARETINA.

APPROVED:

ATTACHMENTS:

A and B Herewith

DISTRIBUTION:

- 3 - EE Attachments A and B herewith
- 2 - COS Attachments A and B herewith

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CENTRAL INTELLIGENCE AGENCY  
SOURCE METHOD EXEMPTION 3B2B  
NAZI WAR CRIMES DISCLOSURE ACT  
DATE 2005

*PAKOWITZ [ ]*

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ATTACHMENT A TO EGFA-12862

Further Relations of Case Officer with Subject

1. Case Officer understands on the basis of rather brief discussion in Frankfurt on 20 August 1956 that Subject accepted employment with UPSWING sometime during the second week in August and immediately broke off the series of conversations which he had been conducting up to that point with [ ]. The result of this development seems to be that Case Officer is now the only direct KUBARK channel to Subject. Case Officer, therefore, proposes, without pressing in any way, to maintain his established relationship to Subject [ ] which Subject, according to [ ] seems to have accepted intoto, in the hope that something of operational value may come of it at some time in the future.

2. In order to keep the record of Case Officer's dealings with Subject current, excerpts from his latest letter to Case Officer -- the only development not previously reported -- are reported below:

a. In this letter dated 15 August 1956, Subject thanks Case Officer for the loan of DM 500 as follows -

Fuer Deinen so herzlich Brief danke ich Dir von ganzen Herzen, und ich werde Dein freundliches Angebot so verwenden, wie es von Dir gedacht ist. Es ist alles gut eingetroffen.

b. After expressing sympathy in the illness of Case Officer's mother-in-law, which had interfered with a projected vacation together on Lake Constance, Subject went on to say -

Meine Unterhaltung mit [ ] ad nur einige Tage gedauert und dann eine ganz andere Wendung genommen, d. h. es ist nichts weiter dabei herausgekommen. Er war ein sehr netter und mir symphatischer (sic) Mensch, so dass es eine Freude war, mit ihm zu reden.

c. Subject ended the letter by speculating about the possibility of visiting Case Officer briefly during a possible trip to Belgium -- to pick up his daughter now visiting there -- at the end of August.

3. Case Officer will make a routine reply to the above letter and await further developments.

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4. Case Officer would appreciate having the opinion of officers who deal or have dealt in the past with UPSWING as to the probable result of any name check on him made in UPSWING files, either by UPSWING. as a normal check of Subject's account of his dealings with [ ] or by Subject himself, as a matter of curiosity. The only likely entries would stem from Case Officer's period of military service as a POW interrogator and intelligence officer from October 1945 to June 1946 in the interrogation center at Oberursel/Taunus. (It might be mentioned that Case Officer, in discussion with Subject, has so far been able to avoid anything more than the barest references to his presence in Germany after the war. It would be unwise, in any future discussion which might be forced on him, to deny flatly having had any connection with the Oberursel operations if the chances are good that Subject may discover it independently.)

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ATTACHMENT B TO EGFA-12862

Contact Report -- Subject

1. The contact with Subject described below is the only development in Case Officer's relationship with him since the exchange of letters reported in Attachment A.

2. Subject called Case Officer at 0845 on the morning of Saturday, 15 September, at his office and, when told Case Officer was not at office, left a message asking Case Officer to call him after 2200 that evening. Case Officer returned the call at 2220 that evening and spoke with an elderly man who grudgingly reported, after repeatedly returning to the telephone to check the name, that Subject was not in. (It was Case Officer's impression that this man is perhaps the operator of a small rooming house.) He did, however, undertake to deliver the message that Case Officer had called, together with his name and home telephone number in Duesseldorf.

3. At 2245, Subject returned the call, apologized for not having been in, and explained that he had called Case Officer that morning at the office, because he had left Case Officer's home telephone number at home. After an exchange of routine greetings and inquiries about the health of the two families, Subject said that he had called because he had been away from home a great deal, had not written, and was afraid that Case Officer might have chosen that weekend to visit him at his home on the assumption that he was there. Case Officer replied that his wife had still not returned from the U.S., but that he did intend to take a few days' leave after her return within the next two weeks or so and that he would very likely pass through Subject's home town on a trip to Switzerland.

4. Subject then referred discreetly to his recent conversations with [ ] saying that they had gotten on very well together but that complications had developed out of "the relations between the States," (this is presumably intended to indicate that his allegiance to Germany did not permit him to continue to give information to a representative of the U.S. government). Case Officer merely remarked that he had never heard anything further about the matter. Subject said he would clarify it later in personal conversation.

5. Subject inquired whether Case Officer might have happened across any suitable employment possibilities for him in Case Officer's area. Case Officer replied that he had not, that the situation was about the same as it had been during Subject's last visit. Subject asked that Case Officer continue to watch for job openings which he might fill.

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6. Subject then remarked that he was thinking very seriously of moving his household to Munich, adding that he could not stand the climate where he now is (he has repeatedly mentioned this point previously) whereas the climate in Munich was exactly right for him. (Subject is something of a valetudinarian, with a variety of odd notions about physiology and health. Coming from him, therefore, this statement is a little less implausible than it sounds.) He added, however, that it seemed to be next to impossible to find adequate accommodations in Munich.

7. Subject went on to say that his wife -- who was with him in Munich, perhaps to help in house-hunting -- would have to undergo an operation within two or three weeks; that, though it was not mortally dangerous, she would be convalescent for three months thereafter, and that he had therefore arranged for his mother to abandon her household in GDR and come to live permanently with him, where she would be able to keep house during his wife's illness. His already inadequate quarters where he now lives, he added, were therefore now intolerably overcrowded.

8. Case Officer mentioned that he had ordered from the States a can opener which Subject had admired, that it had now arrived and that he would send it as a gift to Subject's wife. Subject expressed appropriate gratification at Case Officer's thoughtfulness. The conversation then closed with the usual reciprocal greetings to the respective wives and children, Case Officer promising to call or write before making any visit to Subject's home.

9. Although it is entirely possible that Subject, with his overly-scrupulous German sense of the social amenities, called Case Officer -- and spent more on the conversation than he can conveniently afford -- for the reason he gave, Case Officer feels that the call was insufficiently motivated. As he exchanged commonplaces while waiting for Subject to bring up the point of the conversation, only to find that the point seemed to have been stated at the outset, Case Officer began to wonder whether the conversation might not have been arranged and monitored by UPSWING as a check on Subject's account of his relation to Case Officer. If this unworthy suspicion attributes more intense interest in Subject to UPSWING than is likely to exist in fact, it is at least quite possible that Subject initiated the call on the off-chance that UPSWING might be listening, as a gratuitous demonstration of his bona fides. The latter possibility is one which would occur to Subject's lively imagination and appeal to his delight in playing at cloak and dagger. In any case, however, the conversation can only have confirmed and reinforced Subject's -- and perhaps UPSWING's -- acceptance of Case Officer's cover story, unless UPSWING files should contain traces indicating the contrary.

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